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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,186	01/04/2002	Curt Schwaderer	6656160/21100

CONFIRMATION NO. 6687

FORMALITIES LETTER



OC000000007402761

26386

DAVIS, BROWN, KOEHN, SHORS & ROBERTS, P.C.
THE FINANCIAL CENTER
666 WALNUT STREET
SUITE 2500
DES MOINES, IA 50309-3993

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/15/2002 AOSMAN1 00000029 10037186

01 FC:105

130.00 OP



#3

FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	10/037,186
		Filing Date	1/4/2002
		First Named Inventor	Schwaderer, et al.
		Examiner Name	
<input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Group Art Unit	2661
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	6656160/21100
			(\$) 130.00

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES																																	
<input checked="" type="checkbox"/> Deposit Account		Large Entity		Small Entity	Fee Paid																														
Deposit Account Number: 12-2250		Fee	Fee	Fee																															
Deposit Account Name: Davis, Brown, Koehn, Shors & Roberts, P.C.		105	130	205	65	Surcharge - late filing fee or oath	130.00																												
The Commissioner is authorized to: (check all that apply)		127	50	227	25	Surcharge - late provisional filing fee or cover sheet																													
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		139	130	139	130	Non-English specification																													
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																													
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		112	920*	112	920*	Requesting publication of SIR prior to Examiner action																													
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																													
FEE CALCULATION		115	110	215	55	Extension for reply within first month																													
1. BASIC FILING FEE		116	400	216	200	Extension for reply within second month																													
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Fee Code (\$)</td><td>Fee Code (\$)</td><td></td><td></td></tr><tr><td>101 740</td><td>201 370</td><td>Utility filing fee</td><td></td></tr><tr><td>106 330</td><td>206 165</td><td>Design filing fee</td><td></td></tr><tr><td>107 510</td><td>207 255</td><td>Plant filing fee</td><td></td></tr><tr><td>108 740</td><td>208 370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 160</td><td>214 80</td><td>Provisional filing fee</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)			101 740	201 370	Utility filing fee		106 330	206 165	Design filing fee		107 510	207 255	Plant filing fee		108 740	208 370	Reissue filing fee		114 160	214 80	Provisional filing fee		117	920	217	460	Extension for reply within third month	
Large Entity	Small Entity	Fee Description	Fee Paid																																
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106 330	206 165	Design filing fee																																	
107 510	207 255	Plant filing fee																																	
108 740	208 370	Reissue filing fee																																	
114 160	214 80	Provisional filing fee																																	
SUBTOTAL (1) (\$)		118	1,440	218	720	Extension for reply within fourth month																													
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		128	1,960	228	980	Extension for reply within fifth month																													
<table border="1"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>-20**=</td><td>X</td><td></td><td></td></tr><tr><td>-3**=</td><td>X</td><td></td><td></td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td></td></tr></tbody></table>		Total Claims	Extra Claims	Fee from below	Fee Paid	-20**=	X			-3**=	X			Multiple Dependent				119	320	219	160	Notice of Appeal													
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Large Entity	Small Entity	Fee Description	Fee Paid																																
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103 18	203 9	Claims in excess of 20																																	
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109 84	209 42	**Reissue independent claims over original patent																																	
110 18	210 9	**Reissue claims in excess of 20 and over original patent																																	
SUBTOTAL (2) (\$)		121	280	221	140	Request for oral hearing																													
Other fee (specify)		138	1,510	138	1,510	Petition to institute a public use proceeding																													
*Reduced by Basic Filing Fee Paid		140	110	240	55	Petition to revive - unavoidable																													
SUBTOTAL (3) (\$)		141	1,280	241	640	Petition to revive - unintentional																													
		142	1,280	242	640	Utility issue fee (or reissue)																													
		143	460	243	230	Design issue fee																													
		144	620	244	310	Plant issue fee																													
		122	130	122	130	Petitions to the Commissioner																													
		123	50	123	50	Processing fee under 37 CFR 1.17(q)																													
		126	180	126	180	Submission of Information Disclosure Stmt																													
		581	40	581	40	Recording each patent assignment per property (times number of properties)																													
		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																													
		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																													
		179	740	279	370	Request for Continued Examination (RCE)																													
		169	900	169	900	Request for expedited examination of a design application																													

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Daniel A. Rosenberg	Registration No. (Attorney/Agent)	44308
Signature	<i>Daniel A. Rosenberg</i>	Telephone	515-288-2500
		Date	

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/037,186	
	Filing Date	Jan 4, 2002	
	First Named Inventor	Schwaderer, Curtis	
	Group Art Unit	2661	
	Examiner Name		
Total Number of Pages in This Submission	4	Attorney Docket Number	6656160/21100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notice to File Missing Parts and Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Daniel A. Rosenberg, Esq.
Signature	<i>Daniel A. Rosenberg</i>
Date	April 1, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>April 1, 2002</u>			
Type or printed name	Kristen T. McKern		
Signature	<i>Kristen T. McKern</i>	Date	April 1, 2002

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